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# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

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## **Complete if Known**

Application Number	10/804,295
Filing Date	March 19, 2004
First Named Inventor	Steven P. Tanis
Art Unit	1621
Examiner Name	FBA NAZARIO
Attorney Docket Number	PC27977A

## **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	Cite No. <sup>1</sup>	DOCUMENT NUMBER Number-Kind Code <sup>2</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

## **FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
<i>[Signature]</i>	AA	WO 2004/014551	02-19-2004	Johnson Matthey PLC		
<i>[Signature]</i>	AB	WO 2004/085058	10-07-2004	Tanis, Steven P.		

## **NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
<i>[Signature]</i>	AC	NOYORI, R., et al., "Ruthenium(II)-Catalyzed Asymmetric Transfer Hydrogenation Of Ketones Using A Formic Acid-Triethylamine Mixture," 1996, J. Am. Chem. Soc., 2521-2522, vol. 118.	
<i>[Signature]</i>	AD	NOYORI, R., et al., "The Catalyst Precursor, Catalyst, And Intermediate In The Ru <sup>II</sup> -Promoted Asymmetric Hydrogen Transfer Between Alcohols And Ketones," 1997, Angew: Chem. Int. Ed. Engl., 285-288, vol. 36, no. 3.	

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*[Signature: Porfirio Nazario Gonzalez]*

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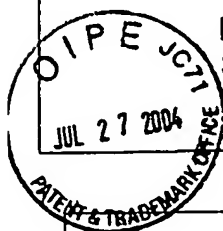
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	A	FUJII, et al., "Ruthenium(II)-Catalyzed Asymmetric Transfer Hydrogenation of Ketones Using a Formic Acid-Triethylamine Mixture," <i>Journal of American Chemical Society</i> , 1996, 2521-2522, Vol. 118.	
	B	MARCH, et al., "Aliphatic Nucleophilic Substitution," <i>Advanced Organic Chemistry: Reactions, Mechanisms, and Structure</i> , 1968, 251-375.	
	C	NOYORI, et al., "Asymmetric Transfer Hydrogenation Catalyzed by Chiral Ruthenium Complexes," <i>Accounts of Chemical Research</i> , 1997, 97-102, Vol. 30, No. 2.	
	D	PALMER, et al., "Asymmetric Transfer Hydrogenation of C=O and C=N Bonds," <i>Tetrahedron: Asymmetry</i> , 1999, 2045-2061, Vol. 10.	
	E	VEDEJS, et al., "Substituted Isoquinolines by Noyori Transfer Hydrogenation: Enantioselective Synthesis of Chiral Diamines Containing an Aniline Subunit," <i>Journal of Organic Chemistry</i> , 1999, 6724-6729, Vol. 64.	

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